



# INSURANCE INFORMATION

## Primary Dental Insurance Information

(this portion must be filled out completely)

Subscriber's Name \_\_\_\_\_ Subscriber's SSN No. or Alt. ID \_\_\_\_\_  
Subscriber's Address \_\_\_\_\_ City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_  
Email (Home) \_\_\_\_\_ Subscriber's Birthdate \_\_\_\_\_  
Insurance Company \_\_\_\_\_ Group No. \_\_\_\_\_ Local No. \_\_\_\_\_  
Insurance Co. Address \_\_\_\_\_ Phone \_\_\_\_\_

## Secondary Dental Insurance Information

Subscriber's Name \_\_\_\_\_ Subscriber's SSN No. or Alt. ID \_\_\_\_\_  
Subscriber's Address \_\_\_\_\_ City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_  
Email (Home) \_\_\_\_\_ Subscriber's Birthdate \_\_\_\_\_  
Insurance Company \_\_\_\_\_ Group No. \_\_\_\_\_ Local No. \_\_\_\_\_  
Insurance Co. Address \_\_\_\_\_ Phone \_\_\_\_\_

## Primary Medical Coverage (For TMJ patients)

Subscriber's Name \_\_\_\_\_ Subscriber's SS No. or Alt ID \_\_\_\_\_  
Subscriber's Birthdate \_\_\_\_\_ Patient relationship to subscriber \_\_\_\_\_ Self \_\_\_\_\_ Child \_\_\_\_\_ Spouse  
Employer Name and Address \_\_\_\_\_ Employer Phone \_\_\_\_\_  
Insurance Company Name \_\_\_\_\_ Group No. \_\_\_\_\_  
Medical Claim Billing Address \_\_\_\_\_ Phone \_\_\_\_\_  
City/State \_\_\_\_\_ Zip \_\_\_\_\_

**INSURANCE ASSIGNMENT AND RELEASE** - I, the undersigned assign directly to MOSHIRI ORTHODONTICS all insurance benefits, otherwise payable to me for services rendered.

I also hereby authorize MOSHIRI ORTHODONTICS to release all information necessary to secure the payment of benefits. I authorize the use of the signature on all insurance submissions.

**FINANCIAL RESPONSIBILITY** - I understand that I am financially responsible for all charges whether or not paid by insurance. I am aware of the financial policies regarding patient services, payment and insurance assignment if applicable.

*I understand that, where appropriate, credit bureau reports may be obtained.*

Signature of Responsible Party (Parent's signature if minor) \_\_\_\_\_ Date \_\_\_\_\_

Please present your insurance card so that copies can be made. The benefits obtained from your carrier may be subject to change.

# Medical and Dental History

Please circle Yes or No (if Yes, please fill in details)

- Yes No Are you taking any medication(s)? List \_\_\_\_\_  
Yes No Is antibiotic required prior to dental work? \_\_\_\_\_  
Yes No Are you allergic to any medication (s)? List \_\_\_\_\_  
Yes No Do you have a history of a major illness? \_\_\_\_\_  
Yes No Are you currently under the care of a physician for a specific illness? \_\_\_\_\_  
Yes No Have you had any major operations (if so, when)? \_\_\_\_\_

Check any of the following for which you have been treated?

- |  |  |   |   |   |
|--|--|---|---|---|
| <input type="checkbox"/> Colds                 | <input type="checkbox"/> Heart Problems      | <input type="checkbox"/> Arthritis                  | <input type="checkbox"/> Herpes                               | <input type="checkbox"/> ADD/ADHD       |
| <input type="checkbox"/> Sore Throats          | <input type="checkbox"/> High Blood Pressure | <input type="checkbox"/> Gastrointestinal Disorders | <input type="checkbox"/> Immune Deficiency                    | <input type="checkbox"/> HIV/Aids       |
| <input type="checkbox"/> Sinus Problems        | <input type="checkbox"/> Rheumatic Fever     | <input type="checkbox"/> Fainting/Dizziness         | <input type="checkbox"/> Nervous Disorders                    | <input type="checkbox"/> Bone Disorders |
| <input type="checkbox"/> Ear Infections/Tubes  | <input type="checkbox"/> Endocrine Problems  | <input type="checkbox"/> Epilepsy                   | <input type="checkbox"/> Mental/Psychological Disorders       |   |
| <input type="checkbox"/> Allergies/Latex/Other | <input type="checkbox"/> Diabetes            | <input type="checkbox"/> Tuberculosis               | <input type="checkbox"/> Tumor/Cancer /Radiation/Chemotherapy |   |
| <input type="checkbox"/> Asthma/Hay Fever      | <input type="checkbox"/> Thyroid Problems    | <input type="checkbox"/> Kidney Problems            | <input type="checkbox"/> Hepatitis/Liver Problems             | Other _____                             |

What concerns you most about your teeth? \_\_\_\_\_

Desired Goals of Orthodontic treatment? \_\_\_\_\_

Please circle Yes or No (if Yes, please fill in details)

- Yes No Have you or anyone in your family ever seen an orthodontist? If yes, who and when? \_\_\_\_\_  
Yes No Have any other family members been treated in this office? \_\_\_\_\_  
Yes No Have your wisdom teeth been removed? If, so when \_\_\_\_\_  
Yes No Do you presently have any dental pain or sensitive to temperature or pressure? Explain \_\_\_\_\_  
Yes No Do your gums bleed when you brush? Date of last cleaning appointment with general dentist \_\_\_\_\_  
Yes No Are you a mouth breather? \_\_\_\_\_ Yes No Do you have a snoring habit? \_\_\_\_\_  
Yes No Have your tonsils and adenoids been removed? What age? \_\_\_\_\_  
Yes No Have you had any type of thumb sucking/pacifier/nail biting or tongue habit? Until what age? \_\_\_\_\_  
Yes No Do you have any speech problems? \_\_\_\_\_  
Yes No Have there been any injuries to your face, mouth or teeth? Explain \_\_\_\_\_  
Yes No Have there been any falling accidents or sport injuries? Explain \_\_\_\_\_  
Yes No Have you been involved in any automobile accidents or received whiplash? Explain \_\_\_\_\_  
Yes No Have you ever had sprained or broken bones? Explain \_\_\_\_\_  
Yes No Do you get headaches? How many times per week? \_\_\_\_\_  
Yes No Do you have facial or orbital pain? If so, where does it hurt? \_\_\_\_\_  
Yes No Do you have jaw pain? Which side? \_\_\_\_\_  
Yes No Do your teeth or jaws ever feel uncomfortable when you wake up in the morning?  
Yes No Are you aware of clenching your teeth during the day or been told that you grind your teeth? \_\_\_\_\_  
Yes No Do you have neck/shoulder/lower back pain?  
Yes No Have you ever experienced chronic ringing or a feeling of fullness in your ears?  
Yes No Do you have numbness in your fingers and/or toes?  
Yes No Do you have clicking or popping of your jaw joints? Which? \_\_\_\_\_  
Yes No Have you ever experienced open and/or closed lock? Explain \_\_\_\_\_  
Yes No Have you ever had jaw and/or joint surgery? When? \_\_\_\_\_  
Yes No Are you aware that some appointments will be during school/work hours? \_\_\_\_\_

If the patient is under age 16, height of parents. Mom \_\_\_\_\_ Dad \_\_\_\_\_ Patient \_\_\_\_\_

Female Patients only: Yes No Are you pregnant? Due Date \_\_\_\_\_

**\*\*Does the patient require antibiotic pre-medication for dental treatment? YES NO**

FARHAD MOSHIRI, D.M.D., M.S., P.C. and MAZYAR MOSHIRI, D.M.D., M.S., P.C.

777 S. New Ballas Rd., #116E

St. Louis, MO 63141

(314) 997-3999

# ACKNOWLEDGEMENT OF RECEIPT OF NOTICE OF PRIVACY PRACTICES

**\*\*You May Refuse to Sign This Acknowledgement\*\***

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I, \_\_\_\_\_, have received a copy of this office's Notice of Privacy Practices.

\_\_\_\_\_  
{Please Print Name}

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\_\_\_\_\_  
{Signature}

\_\_\_\_\_  
{Date}

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I acknowledge that I have been provided a copy of Moshiri Orthodontics "Notice of Privacy Practices", which has an effective date of 9/22/2013, and which describes how my health information may be used and disclosed.

I understand that you have the right to change the Notice of Privacy Practices at any time, that I will be provided a copy of any updated version, and that I may contact you at any time to request a current Notice of Privacy Practices.

## **NOTICE OF PRIVACY PRACTICES**

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THIS NOTICE DESCRIBES HOW HEALTH INFORMATION ABOUT YOU MAY BE USED AND DISCLOSED AND HOW YOU CAN GAIN ACCESS TO THIS INFORMATION. PLEASE REVIEW THIS NOTICE CAREFULLY. THE PRIVACY OF YOUR HEALTH INFORMATION IS IMPORTANT TO US.

### **OUR LEGAL DUTY**

The Health Insurance and Portability & Accountability Act of 1996 (HIPAA) is a federal program that requires that all medical and dental records and other individually identifiable health information used or disclosed by us in any form, whether electronically, on paper, or orally, are properly kept confidential. HIPAA gives you, the patient, significant rights to understand and control how your health information is used.

HIPAA provides penalties for covered entities, including our practice, that misuse “*protected health information*” (PHI). PHI is information about you, including demographic information, that may identify you and that relates to your past, present, or future physical or mental health or condition and related health care services. We are required by law to maintain the privacy of your PHI and to provide you with this notice of our legal duties and privacy practices with respect to your PHI. We also have legal obligations to notify you in the event of a breach of unsecured PHI.

This Notice of Privacy Practices describes how we may use and disclose your PHI for treatment, payment, healthcare operations, and for other purposes that are permitted or required by law. It also describes your rights to access and control your PHI. This Notice of Privacy Policies takes effect on 09/22/13, and remains in effect until we replace it. We are required to abide by the terms of the Notice of Privacy Practices that is in effect.

We reserve the right to change our privacy practices and the terms of this Notice of Privacy Practices at any time, provided such changes are permitted by applicable law. We reserve the right to make any changes in our privacy practices effective for all PHI that we maintain, including health information we created or received before we made the changes. In the event of a change in our practices, we will provide you with a copy of the revised Notice of Privacy Practices through one or more of the following methods: posting the Notice of Privacy Practices to our website, mailing you a copy, or providing you a copy at your next appointment with us.

You may request a copy of our current Notice of Privacy Practices at any time. For more information about our practices, or for additional copies, please contact us using the information listed at the end of this Notice.

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### **HOW WE MAY USE AND DISCLOSE YOUR PROTECTED HEALTH INFORMATION**

**Treatment:** We may use or disclose your PHI to personnel in our office, as well as to physicians and other healthcare professionals within or outside our office, who are involved in your medical care and need the information to provide you with medical care and related services. For example, we may use or disclose your PHI in consultations and/or discussions regarding your medical care and related services with healthcare providers who we refer to and receive referrals from. We require authorization to disclose your PHI to healthcare providers not currently involved in your care.

**Payment:** We may use and disclose your PHI to obtain payment for services we provide to you. If you personally pay in full for service(s), you have the right to restrict us from disclosing your PHI with respect to that service(s) to your health plan/insurer. For example, we may give your health insurance provider information about you so that they will pay for your treatment.

**Healthcare Operations:** We may use and disclose your PHI in connection with our healthcare operations. Healthcare operations include quality assessment and improvement activities, reviewing the competence or qualifications of healthcare professionals, evaluating practitioner and provider performance, conducting training programs, accreditation, certification, licensing, and credentialing activities. For example, we may disclose PHI to medical students who are performing work with our office, or call your name in the reception area.

**Appointment Reminders and Other Contacts:** We may disclose PHI in the course of leaving phone messages and in providing you with appointment reminders via phone messages, postcards, or letters. We also may use and disclose Health Information to tell you about treatment alternatives or health-related benefits and services that may be of interest to you.

**Business Associates:** We may disclose PHI to our business associates, such as billing services or healthcare professionals providing services as independent contractors, for the purpose of performing specified functions on our behalf and/or providing us with services. PHI will only be used or disclosed if the information is necessary for such functions or services. All of our business associates are obligated to protect the privacy of PHI and are not allowed to use or disclose any PHI other than as specified in our contract with them.

**Your Family, Friends, and Representatives:** We may use or disclose PHI to notify or assist in the notification of a family member, domestic partner, close personal friend, your personal representative, an entity assisting in a disaster relief effort, or another person responsible for or involved in your care. If you are present, prior to use or disclosure of PHI we will provide you with an opportunity to object to such uses or disclosures. In the event of your incapacity, your death, or in emergency circumstances, if deemed appropriate based upon our professional judgment, we will disclose PHI that is directly relevant to the person’s involvement in your care. We may inform such person(s) of your location, your general condition, or death. We will also use our professional judgment and our experience with common practice to make reasonable inferences of your best interest in allowing a person to obtain prescriptions, medical supplies, x-rays, or other similar forms of PHI on your behalf. We will not disclose PHI to such an individual if doing so would be inconsistent with any of your prior wishes that are known by us.

**Abuse or Neglect:** We may disclose your PHI to appropriate authorities if we reasonably believe that you are a possible victim of abuse, neglect, or domestic violence, or the victim of other crimes. We may disclose your PHI to the extent necessary to avert a serious threat to your health or safety or the health or safety of others.

**Coroners, Medical Examiners and Funeral Directors:** We may release PHI to coroners or medical examiners as necessary, for such purposes as identifying a deceased person or determining the cause of death. We also may release PHI to funeral directors as necessary for their duties.

**National Security:** Under certain circumstances, we may disclose PHI to military authorities. We may disclose PHI to authorized federal officials as required for lawful intelligence, counterintelligence, and other national security activities. Under certain circumstances, we may disclose PHI to a correctional institution or law enforcement official with whom you are in lawful custody.

**Fundraising:** We may contact you in relation to fundraising activities, however you have the right to opt out of receiving such communications.

**Data Breach Notification Purposes:** We may use or disclose your PHI to provide legally required notices of unauthorized access to or disclosure of your PHI.

**Required by Law:** We may use or disclose your PHI when we are required to do so by law. Such circumstances include, but are not limited to, compliance with a court order, mandatory reporting due to serious or imminent threats to the public, mandatory reporting of child abuse or neglect, in response to government agency audits or investigations, and reporting disclosures to the Secretary of the Department of Health and Human Services as necessary for the purpose of investigating or determining our compliance with HIPAA and Health Information Technology for Economic and Clinical Health Act (HITECH) rules.

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## YOU MAY PROVIDE ADDITIONAL AUTHORIZATION

**Marketing Uses:** We may only use or disclose your PHI for marketing purposes if you authorize us to do so. Such authorization would allow us to disclose PHI to a third party vendor business associate for the purpose of providing you with targeted supplementary products or services when your physician believes such offerings will be of value to you. Your authorization may be revoked in writing at any time. Revocation of authorization will not affect any use or disclosures permitted by your authorization while it was in effect.

**Sale:** We may only use or disclose your PHI in a manner that constitutes a sale of information if you authorize us to do so. Your authorization may be revoked in writing at any time. Revocation of authorization will not affect any use or disclosures permitted by your authorization while it was in effect.

**To Others Upon Your Specific Authorization:** In addition to our use of PHI as described in this Notice of Privacy Practices, you may give us written authorization to use your PHI or to disclose it to anyone for any purpose. If you give us an authorization, you may revoke it in writing at any time. Your revocation will not affect any use or disclosures permitted by your authorization while it was in effect. If the Practice maintains any psychotherapy notes, they will not be released unless you sign an authorization or if otherwise required by law. Consistent with the Genetic Information Nondiscrimination Act (GINA), our Practice will not use or disclose your genetic information to insurance providers or others for underwriting purposes.

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## PATIENT RIGHTS

**Access:** You have the right to inspect and receive copies of your PHI, or to receive your PHI electronically, with limited exceptions. You may also request that we prepare a summary or an explanation of your PHI. If we maintain your PHI in electronic format, you may request to view your PHI in that format. You may request that we provide copies or the summary in a format other than photocopies. We will use the format you request unless it is not practicable. To obtain copies or a summary, you must make a request in writing and provide us a reasonable amount of time to respond, generally thirty (30) days. You may send a letter to or request a form from us using the contact information listed at the end of this Notice of Privacy Practices. We will charge you a reasonable cost-based fee for expenses such as copies, postage, scanning cost, electronic data compilation costs, and/or staff time. Contact us using the information listed at the end of this Notice of Privacy Practices for a full explanation of fees for your request.

**Notification of a Breach:** We will notify you of a breach of your unsecured PHI, as required by HIPAA and the Health Information Technology for Economic and Clinical Health Act (HITECH).

**Disclosure Accounting:** You have the right to receive a list of instances, if any, in which we or our business associates or their subcontractors disclosed your PHI for purposes other than treatment, payment, healthcare operations, and other permitted uses as described in this Notice of Privacy Practices, for the last 3 years. If you request this accounting more than once in a 12-month period, we may charge you a reasonable, cost-based fee for responding to the additional requests. You have the right to request such an accounting in an electronic format.

**Restrictions:** You have the right to request that we place additional restrictions on our use or disclosure of your PHI. We are not required to agree to these additional restrictions, but if we do, we will abide by our agreement, except in emergency circumstances.

**Electronic, Alternative, or Confidential Communication:** You have the right to request, in writing, that we communicate with you about your PHI by alternative means, such as in electronic format, or to alternative locations. Your request must specify the alternative means or location, and provide satisfactory explanation regarding how payments will be handled under the alternative means or location you request.

**Amendment:** You have the right to request, in writing, that we amend your PHI. Your request must explain why the information should be amended. We may deny your request under certain circumstances.

**Electronic Notice:** If you receive this Notice of Privacy Practices on our website or by e-mail, you are entitled to receive a copy in written form.

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## QUESTIONS AND COMPLAINTS

If you have any concerns that we may have violated your privacy rights, or if you disagree with a decision we made about access to your PHI or in response to a request you made to amend or restrict the use or disclosure of your PHI, or to have us communicate with you by alternative means or at alternative locations, you may contact us using the information listed below.

In addition, you may submit a written complaint to the U.S. Department of Health and Human Services. We will provide you with the contact information for filing a complaint upon request. We support your right to the privacy of your PHI. We will not retaliate in any way if you choose to file a complaint with us or with the U.S. Department of Health and Human Services.

If you would like additional information regarding our privacy practices, or if you have questions or concerns, please contact us as indicated below.

**Contact Officer:** Mazyar Moshiri, D.M.D., M.S., P.C.  
**Address:** 777 S. New Ballas Rd. Suite 116e - Saint Louis, MO 63141  
**Telephone:** 314-997-3999 or 417-881-7490  
**Fax:** 314-997-7554  
**Email:** [info@smilesaintlouis.com](mailto:info@smilesaintlouis.com)

**Farhad Moshiri, D.M.D., M.S., P.C. and Mazyar Moshiri, D.M.D., M.S., P.C.**

## **NOTICE OF MATERIAL CHANGES TO OUR PRIVACY PRACTICES POLICY**

**EFFECTIVE: SEPTEMBER 22, 2013**

### **BACKGROUND**

The Health Insurance and Portability & Accountability Act of 1996 (HIPAA) gives individuals the right to be informed of their healthcare providers' privacy practices and the right to understand and control how their health information is used. Healthcare providers are required to develop and distribute a notice that provides a clear explanation of these rights and practices.

Our Practice has made material changes to our privacy practices, consistent with legal changes to HIPAA and the Health Information Technology for Economic and Clinical Health Act (HITECH). We will be providing all of our patients with our revised and updated Notice of Privacy Practices, and requesting a signed acknowledgment of receipt from each patient.

### **SUMMARY OF MATERIAL CHANGES TO OUR PRIVACY PRACTICES:**

- We have added a statement to our Privacy Practices acknowledging that we may not use or disclose your protected health information for marketing purposes, including disclosures that constitute sales, without your authorization.
- We will be issuing new Patient Release of Records Authorization forms that allow patients to choose whether to allow or limit the Practice from disclosing their protected health information in certain ways, to include opting out of fundraising communications.
- If the Practice maintains a patient's psychotherapy notes, they will not be released unless you the patient signs an authorization or if otherwise required by law.
- Patients have the right to restrict the Practice from disclosing certain protected health information to health plan providers if the patient personally pays for their service in full.
- We have revised our internal privacy breach reporting practices to comply with 2013 changes in the HIPAA and HITECH privacy rules, and patients have a right to receive a notification of breaches of unsecured protected health information.
- Consistent with the Genetic Information Nondiscrimination Act (GINA), our Practice will not use or disclose any genetic information to insurance providers or others for underwriting purposes.

If you would like additional information regarding our privacy practices, or if you have questions or concerns, please contact us as indicated below.

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